

(For all non-resident Directors, Shareholders and beneficial owners of entity account holders)

Summary Descriptions of Select Defined Terms & Account Holder; means the person listed or identified as the holder of a Financial Account.

With respect to a jointly held account, each joint holder is treated as an Account Holder.

“Reportable Account” means an account held by one or more Reportable Persons:

“Reportable Jurisdiction” is a jurisdiction with which an obligation to provide financial account information is in place and that is identified in a published list.

“Reportable Person” is an individual/company /entity who is tax resident in a Reportable Jurisdiction under the tax laws of that jurisdiction.

“TIN” (including “functional equivalent”) means Taxpayer Identification Number or a functional equivalent in the absence of a TIN.

Why are we asking you to complete this form?

The Convention on Mutual Administrative Assistance in Tax Matters (Implementation) Act cap355 require financial institutions like KCB Bank Uganda Limited to comply with the Common Reporting Standards (“CRS”) and (“We”or“Us”) to collect and report information about where our customers are tax resident. Under these Regulations, we are required to ask you to provide the information requested in this form.

Tax residence

Each country/ jurisdiction has its own rules for defining tax residence. In general, you are a tax resident in the country/ jurisdiction where you live. You can be a tax resident in a country/ jurisdiction without paying tax, for example, if your income is below the threshold. Special circumstances may cause you to be tax resident elsewhere or tax resident in more than one country/ jurisdiction at the same time.

For more information on tax residence for CRS, please seek specialist advice or consult the information on the Organization for Economic Co-operation and Development’s (OECD) website which can be found at:

<https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/>.

Who needs to fill this form?

Please fill in this form if you are an individual account holder, sole trader or sole proprietor. You are required to complete this form even if you have given us information about your tax status in the past.

For joint or multiple account holders, use a separate form for each individual person.

What will we do with this information?

We will keep a record of this form on your file. If your tax residence (or that of the Account Holder, if you are completing the form on their behalf) is located outside Uganda, we may be legally obliged to pass on the information in this form and other financial information with respect to your accounts to the Uganda Revenue Authority (URA) and they may exchange this information with tax authorities of another jurisdiction or jurisdictions pursuant to intergovernmental agreements to exchange financial account information.

It is important that we maintain the right information about you. If there is a change in circumstances that makes this form incorrect or incomplete, you must tell us by sending us an updated self-certification form within 30 days.

Further Information

If you have any questions about the information requested on this form please contact us. We are not allowed to give tax advice so if you’re not sure what your tax residence status is, please speak to a professional tax advisor. Your domestic tax authority can also provide guidance regarding how to determine your tax status. You can also find out more on the information being requested from the OECD automatic exchange of information portal:

COMPANY/ENTITY ACCOUNT CONTROLLER SELF-CERTIFICATION FORM

(For all non-resident Directors, Shareholders and beneficial owners of entity account holders)

SECTION A: COMPANY DETAILS

Entity Name:			
Account Name:			
Account Number:			
Country of Incorporation:			
Residential Address:			
Mailing Address:			
Country(ies) of Tax Residence:			
Foreign TIN(s):			

SECTION B: PERSONAL DETAILS OF THE INDIVIDUAL ENTITY CONTROLLER BENEFICIAL OWNER

Name of Account Holder:			
Account Number			
Date of Birth:			Nationality:
Place of Birth:			Passport/ID Number:
Residential Address (Outside Uganda, if applicable):			
Country(ies) of Tax Residence:			
Foreign TIN(s):			

If a TIN is not available, please provide the appropriate reason

<input type="checkbox"/>	The country of residence does not issue a TIN or equivalent number to its residents
<input type="checkbox"/>	Other (please specify reason below)

SECTION C: TAX RESIDENCY DECLARATION

I certify and confirm that the above entity is a tax resident in the country(ies) listed above.

SECTION D: DATA PRIVACY AND CONSENT

I consent to the collection, holding, and processing of the data by KCB BANK UGANDA LIMITED in accordance with the Data Protection and Privacy Act Cap 97 and all applicable data protection laws and regulations. I acknowledge that this information may be shared with local and international tax authorities per legal requirements under the Convention on Mutual Administrative Assistance in Tax Matters (Implementation) Act cap355 and Common Reporting Standards "CRS" regulations.

SECTION E: DECLARATION

I certify that I am authorized to sign for the Account Holder(or I am a beneficial owner of all the account(s) to which this form relates. I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

Name	Signature	Date

SECTION F: FOR BANK USE ONLY

Received by:		Date Received:	
Reviewed by:		Review date:	